

TELEPHONIC SELLER SOLICITATIONS - REGISTRATION

A copy of Act 1003 of the 1993 Louisiana Regular Session (La. R.S. 45:821 - 830) is attached. The law became effective on August 15, 1993 and applies primarily to those companies offering incentives in connection with the sale of merchandise.

You should carefully review the attached materials to determine if your business comes within the requirements of the new law. If so, you must make the appropriate filing with the Office of the Attorney General.

It is your sole responsibility to determine whether or not your company needs to comply with Act 1003. If you have any questions regarding the application of the law to your company, you should contact a private attorney. Neither this office nor any other federal, state, or local office can advise you as to whether or not the specific manner in which your business is operating comes within the law. As with all other regulated businesses, this is a determination for you to make after consultation with your advisors.

This packet contains the following information that you will need in complying with the Telephonic Seller's Act.

- I. Copy of the Telephonic Seller's Act
- II. General Instructions for filling out the Telephonic Seller Registration.
- III. Telephonic Seller Registration.

**GENERAL INSTRUCTIONS FOR REGISTRATION
PURSUANT TO ACT OF 1993 FOR
TELEPHONIC SELLERS**

A. GENERAL INFORMATION

1. Submit Registration and \$150 registration fee to:

Louisiana Department of Justice
Consumer Protection Section
P.O. Box 94095
Baton Rouge, LA 70804
2. References in these instructions and the Registration Form are to the Louisiana Act 1003 of 1993, La. R.S. 45:821-830.
3. The Registration Form must be accompanied by a copy of a surety bond in the amount of fifty thousand dollars as required to be filed with this office by La. R.S. 45:829.
4. Registration forms are good for one year from the date of registration. If, however, prior to expiration of your annual registration there is a material change in the information you have supplied, you must, within 10 days, file an addendum updating the information with the Department of Justice. This addendum can be in letter form and must detail all material changes. However, changes in salespersons soliciting on your behalf shall be updated by addendum filed in quarterly intervals computed from the effective date of registration. The quarterly updates should include information for all salespeople whether or not they were employees or were independent contractors for your company during the period since your last report. Include all salespeople whether or not they are still employed at the time of filing (La. R.S. 45:823C). Individuals salespeople do not have to file a Registration Form; the form you file covers all of your salespeople.
5. You must submit the Registration Form not less than 10 days prior to doing business in Louisiana (La. R.S. 45:823 A(1)).
6. After receiving your Registration Form and filing fee, the Department of Justice will, within 20 days, either register you, request additional information or deny your registration. If you have not received anything from the Department of Justice in 20 days, it is your responsibility to check with our office to see why you have not received it. If your registration is accepted and you have more than one business location, the confirmed registration from will be sent to the mailing address identified in your filing in sufficient number so that you have one for each business location. **WITHIN 10 DAYS OF RECEIPT, YOU MUST POST IN A CONSPICUOUS PLACE AT EACH OF YOUR BUSINESS LOCATIONS THE**

CONFIRMED COPY OF THE FIRST PAGE OF THE REGISTRATION FORM.

You must keep a copy of your entire registration form which you fled with our office at each location from which you do business. You must also post in close proximity to the confirmed registration form the name of the individuals(s) in charge of each location from which you do business in this state (La. R.S. 45:823D).

7. You cannot make or authorize the making of any references to your compliance with this law to any prospective or actual purchaser (La. R.S. 45:825B).
8. *If you fail to file the Registration Form and filing fee more than 10 days prior to beginning business, or otherwise violate any provision of the Unfair trade Practice Act or Consumer Protection Rules and Regulations, or if you directly or indirectly employ any device, scheme, or artifice to deceive in connection with the offer or sale by you, or if you wilfully, directly, or indirectly engage in any act, practice, or course of business which operates in any act, practice, or course of business which operates or would operate as a fraud or deceit upon any person in connection with a sale made by you, you can be fined \$10,000 for each wilful violation (La. R.S. 45:827).*

B. DIRECTIONS

"I" "my" "we" "us" or "our" in the Registration Form means "you, the telephonic seller" and where appropriate includes your salespeople and representatives. This applies to you regardless of whether you are a corporation, partnership, sole proprietorship or operating under a fictitious name. When one or more boxes (" []") appear in a question, mark ("x") all the boxes which apply to your situation. If you need more space to answer a question than is provided on the form, attach another sheet of paper and title it with the question number which is being answered. Use a different sheet of paper to complete each question.

1. Since you may submit the Registration Form more than 10 days before you begin business, the date you fill in question (1) of the form should be the date that you send the Form in. The date in question (2)f of the form should be the date (covering one year) for which you wish the form to be valid.
2. In questions 8,9, and 10, you must provide information for the company which is your parent or affiliated with you if (1) that company will engage in business transactions with purchasers relating to sales solicited by you or (2) that company accepts responsibility for your acts or for statements made by you which relate to sales solicited by you.

3. You may use the following format, as appropriate, to answer questions 24(a):

Item: 5" Bony Portable TV Model #1234
Value: \$120.95
Basis: Sells for this price at major department stores.
Price: \$50.00

4. You may use the following format, as appropriate, to answer question 25 (a) (3):

Item: 5" Bony Portable TV Odds: 2 in 10,000
Item: 1993 Truck Odds: 99 in 100,000

5. You may use the following format, as appropriate, to answer question 25 (a)(3):

(1) Recipient(s) of the 5 "Bony Portable TV are:
Joe Smith
100 North Main Street
Mainland, LA 70000

6. You may use the following format, as appropriate, to answer question 27:

Mary Jones/345 Golden Rod Lane/
Golden, LA 70000 (318) 000-0000
We get .999 per silver from Ms. Jones

7. If applicable to you, you may use the following format, as appropriate, to answer question 28 (b):

Diamond's Lane
1254 Diamond Way
Diamond, LA 70000

8. If applicable to you, you may use the following format, as appropriate to answer question 28(e):

Mary Jones
Diamond's Lane

9. If question 30(c) is applicable to you and the representations regarding earning or profit potential relate to previous sales made by you for a related entity, the substantiating data shall be based on the experiences of at least 50 percent of the persons who have purchased the particular metal, coins, stone, or mineral from you or the related entity during the preceding six months (or if you or the related entity have not been in business that long, during the preceding six months (or if you or the related entity have not been in business that long, during the

period you or the related entity have been in business) and shall include the raw data upon which the representation is based, including but not limited to all of the following:

- a. The length of time you or the related entity have been selling the particular metal, coins, stone, or mineral being offered;
- b. The number of purchasers thereof from you or the related entity known to you or the related entity to have made at least the same earnings or profit as those represented;
- c. The percentage that the number disclosed pursuant to subparagraph (b) represents of the total number of purchasers from you or the related entity of the particular metal, coins, stone, or mineral.

Remember, even if the earning or profit potential representations do not relate to previous sales made by you or a related entity, you must still attach as Exhibit 9, the substantiating data.

10. If question 31(b) applies to you, you may use the following format, as appropriate, to answer: Oil well located in Oily, Alaska; we have no ownership interest in this well; 250 interests will be sold. Gold mine in Goldy, Wyoming; we own 50% of this mine: 500 interests will be sold.
11. If question 33(c) is applicable to you and the representations regarding earning or profit potential relate to previous sales made by you or a related entity, the substantiating data shall be based on the experiences of at least 50 percent of the purchasers of the particular interests from you or the related entity during the preceding six months (or if you or the related entity have not been in business that long, during the period you or the related entity have been in business) and shall include the raw data upon which the representation is based, including but not limited to all of the following:
 - a. The length of time you or the related entity have been selling the particular interests in the fields, wells or sites being offered;
 - b. The number of purchasers of the particular interests from you or the related entity known to you to have been made at least the same earnings as those represented;
 - c. The percentage that the number disclosed pursuant to subparagraph (b) represents of the total number of purchasers of the particular interests from you or the related entity. Remember, even if the earnings or profit potential representations do not relate to previous sales made by you or a related entity you must still attach as Exhibit 11, the substantiating data.

12. The form you should use to answer question 37 is already attached to the Registration Form and pre-marked "Exhibit 13". Fill out the blanks as appropriate.
13. Following question 37 on the form is a declaration under penalty of perjury which must be signed and dated by each of your principals and must show the location where each signed. Unless properly signed by each principal, the form will not be accepted. A "principal" of a telephonic seller means an owner, an executive officer of a corporation, a general partner of a partnership, a sole proprietor of a sole proprietorship, a trustee of a trust, or any other individual with similar supervisory functions with respect to any seller. (La. R.S. 45:824A(9))
14. Exhibit 14 is provided for you to list all the pseudonyms and aliases used or being used by your salespersons, manager and principals, as required by (La. R.S. 45:824A(9)). If those persons are not now using or have never used a pseudonym or alias, check appropriate statement. Attached Exhibit 14 to the Registration Form that you submit.
15. If you have any further question, contact the Department of Justice at (504) 342-9638.

TELEPHONIC SELLER REGISTRATION FORM

1. Registration submitted on (date) _____

2. Registration submitted for the period (month, date, and year) _____ to one year from said date.

3. This filing is made on behalf of: (name the telephonic seller will use when contacting the public) _____

4. We also do business under the following names:

5. A brief description of what we sell is? _____

6. (a) We have never registered before as a Telephonic Seller.

(b) We have registered before as a Telephonic Seller. Give registration number and date of registration below:

<u>Registration Number</u>	<u>Date of Registration</u>
_____	____/____/____
_____	____/____/____
_____	____/____/____

(c) This is our annual filing. Attached is our check number _____ in the amount of \$150.00 made payable to the "Louisiana Department of Justice."

7. Our business is a:

(a) Corporation; we are incorporated in (State of incorporation) _____

Attached and marked Exhibit 1 is a copy of our articles of incorporation, our By-laws and amendment thereto.

- (b) Partnership; we are a partnership under the law of (state where partnership founded) _____
- Attached and marked Exhibit 2 is a copy of our Partnership Agreement.
- (c) Fictitious business name; the fictitious business name was registered by (name of individual who registered) _____ (fictitious name) _____ on (date registered) ____/____/____ at (where fictitious name was registered) _____
8. (a) As defined in the General Instructions number B2, the following company is our parent: _____
- (b) As defined in General Instructions number B2, we are affiliated with the following companies: _____
- (c) As defined in General Instruction number B2, no other company is our parent, nor are we affiliated with any other company.
9. If you checked 8(a), our parent company is a:
- (a) Corporation; it is incorporated in (state of incorporation) _____
- Attached and marked Exhibit 1A is a copy of its Articles of incorporation, By-laws and amendments thereto.
- (b) Partnership; it is a partnership under the laws of state where partnership was founded) _____
- Attached and marked Exhibit 1 A is a copy of its Partnership Agreement.
- (c) Fictitious business name; the fictitious business name was registered by (name of individual who registered) _____ (fictitious name) _____ on (date registered) ____/____/____ at (where fictitious name was registered) _____
10. If you checked 8(b), supply the following requested information for the company with which you are affiliated.

(a) Corporation; it is incorporated in (state of incorporation) _____

Attached and marked Exhibit 1 B is a copy of its Articles of Incorporation, By-laws and amendments thereto.

(b) Partnership; it is a partnership under the laws of (state where partnership was founded) _____

Attached and marked Exhibit 1 B is a copy of its Partnership Agreement.

(c) Fictitious business name; the fictitious business name was registered by (name of individual who registered) _____
(fictitious name) _____ on (date registered) ____/____/____ at (where fictitious name was registered) _____

11. (a) The complete street address of the principal location from which sales will be solicited is:

(b) We will also be soliciting sales from the following other address(es):

(c) If the address set forth in (a) is not in Louisiana the address of the main location in Louisiana from which we will solicit business is:

(d) Our mailing address (if different from (a)) is:

12. (a) The telephone number(s) we will be using to solicit business from the location set forth in 11(a) are:

(b) Designating where they are located, the telephone number(s) we will be using to solicit from each of the locations set forth in 11(b) are:

(c) If 11(c) is applicable, the telephone number(s) we will be using to solicit business from the location set forth in 11 (c) are:

13. Depending on whether our business is a corporation, partnership or proprietorship, the following is listed for each, officer, director, trustee, general partner, limited partner, sole proprietor and owner (attach additional pages as needed):

Name: _____ Date of Birth ____ / ____ / ____

Office held: _____

Ownership Interest yes no

Complete address of principal residence:

Driver's License Number: _____

State of Issuance _____

Name: _____ Date of Birth ____ / ____ / ____

Office held: _____

Ownership Interest yes no

Complete address of principal residence:

Driver's License Number: _____

State of Issuance _____

Name: _____ Date of Birth ___ / ___ / ___

Office held: _____

Ownership Interest yes no

Complete address of principal residence:

Driver's License Number: _____

State of Issuance _____

14. The following is listed for each individual, not listed in answer to question (13) who has management responsibilities in connection with our business (attach additional pages as needed)

Name: _____ Date of Birth ___ / ___ / ___

Principal residence address:

Driver's License Number: _____

State of Issuance _____

Management duties include: _____

Name: _____ Date of Birth ___ / ___ / ___

Principal residence address:

Driver's License Number: _____

State of Issuance _____

Management duties include: _____

Name: _____ Date of Birth ___ / ___ / ___

Principal residence address:

Driver's License Number: _____

State of Issuance _____

Management duties include: _____

15. The following is listed for each person who is in charge of each location specified in answer to question 11. List each person in charge even if that name appears in answer to another question (attach additional pages as needed).

Name: _____

Principal residence address:

In charge of location at: _____

Name: _____

Principal residence address:

In charge of location at: _____

Name: _____

Principal residence address:

In charge of location at: _____

16. Following is information regarding any person listed in the answer to question 13, 14, or 15 who:
- (1) Has been convicted of a felony or misdemeanor, or pled nolo contendere, to a charge alleging fraud, theft, embezzlement, fraudulent conversion, or misappropriation of property; or
 - (2) Has had entered against him or her a final judgment or order in a civil or administrative action, including a stipulated judgment or order, if the complaint or petition in the civil or administrative action alleged acts constituting a violation of this telephonic seller law, fraud, theft, embezzlement, fraudulent conversion, or misappropriation of property, the use of untrue or misleading representations in an attempt to sell or dispose of real or personal property, or the use of unfair, unlawful, or deceptive business practices; or
 - (3) Is subject to any currently effective injunction or restrictive court order relating to business activity as the result of an action brought by a federal, state or local public agency or until thereof, including, but not limited to, an action affecting any vocational license.

Provide all requested information for each person. Attach additional pages as needed.

Individual's name _____

Court or Administrative Agency Rendering Decision, Judgment or Order: _____

Type of Conviction, Judgment or Order: _____

Docket Number: _____

Date of Conviction, Judgment or Order: _____

Name of Governmental Agency which brought the action: _____

Individual's name _____

Court or Administrative Agency Rendering Decision, Judgment or Order: _____

Type of Conviction, Judgment or Order: _____

Docket Number: _____

Date of Conviction, Judgment or Order: _____

Name of Governmental Agency which brought the action: _____

Individual's name _____

Court or Administrative Agency Rendering Decision, Judgment or Order: _____

Type of Conviction, Judgment or Order: _____

Docket Number: _____

Date of Conviction, Judgment or Order: _____

Name of Governmental Agency which brought the action: _____

17. Following is the same information required by question 16 except applied to the telephonic seller. The telephonic seller is the corporation, partnership, firm association, joint venture or any other type of business entity which is submitting this application for registration.

Individual's name _____

Court or Administrative Agency Rendering Decision, Judgment or Order: _____

Type of Conviction, Judgment or Order: _____

Docket Number: _____

Date of Conviction, Judgment or Order: _____

Name of Governmental Agency which brought the action: _____

Individual's name _____

Court or Administrative Agency Rendering Decision, Judgment or Order: _____

Type of Conviction, Judgment or Order: _____

Docket Number: _____

Date of Conviction, Judgment or Order: _____

Name of Governmental Agency which brought the action: _____

18. Following is information on any person listed in the answer to question 13, 14, and 15 who has:

(a) at any time during the previous seven tax years:

- filed in bankruptcy,
- been adjudged a bankrupt,
- been reorganized due to insolvency, or

(b) who has been a principal, director, officer, trustee, general or limited partner, or had management responsibilities for any other corporation, partnership, joint venture, or business entity that has:

- filed in bankruptcy,
- been adjudged a bankrupt,
- been reorganized due to insolvency

during or within one year after the period that the person held that position.
Attach additional pages as needed.

Individual's name _____

Name of business entity _____

Court or Administrative Agency Rendering Decision, Judgment or Order: _____

Docket Number: _____

Date of Order: _____

Name of Governmental Agency which brought the action: _____

Individual's name _____

Name of business entity _____

Court or Administrative Agency Rendering Decision, Judgment or Order: _____

Docket Number: _____

Date of Order: _____

Name of Governmental Agency which brought the action: _____

Individual's name _____

Name of business entity _____

Court or Administrative Agency Rendering Decision, Judgment or Order: _____

Docket Number: _____

Date of Order: _____

Name of Governmental Agency which brought the action: _____

19. Following is the same information required by question 18 except applied to the telephonic seller. The telephonic seller is the corporation, partnership, firm, association, joint venture or any other type of business entity which is submitting this application for registration.

Individual's name _____

Name of business entity _____

Court or Administrative Agency Rendering Decision, Judgment or Order: _____

Docket Number: _____

Date of Order: _____

Name of Governmental Agency which brought the action: _____

20. The following is listed for individuals who will solicit on our behalf, and the name(s) they will use when soliciting (attach additional pages as needed, but use the same format)

(1) Actual Name: _____

Principal Residence Address:

Name(s) to be used when soliciting:

(2) Actual Name: _____

Principal Residence Address:

Name(s) to be used when soliciting:

(3) Actual Name: _____

Principal Residence Address:

Name(s) to be used when soliciting:

(4) Actual Name: _____

Principal Residence Address:

Name(s) to be used when soliciting:

(5) Actual Name: _____

Principal Residence Address:

Name(s) to be used when soliciting:

(6) Actual Name: _____

Principal Residence Address:

Name(s) to be used when soliciting:

21. (a) Attached and marked Exhibit 2 is a copy of all sales scripts we give to those soliciting for us.
- (b) We do not give those soliciting for us a sales script.
22. (a) Attached and marked Exhibit 3 is a copy of all sales information or literature we provide to our sales people or of which we inform our sales people (including, but not limited to, scripts, outlines, instructions and information regarding how to conduct telephonic sales, sample introductions, sample closings, product information and contest or premium award information.)
- (b) We do not provide to or inform our salespeople of any sales information or literature as described in 22(a).
23. (a) Attached and marked Exhibit 4 is a copy of all written material we send any prospective or actual purchaser.
- (b) We do not send any written material to any prospective or actual purchaser.
24. (a) If you or your salespeople represent or imply to prospective or actual purchasers that the purchaser will receive certain specific items or one or more items from among designated items, or a certificate of any type

which the purchaser must redeem to obtain the item described in the certificate, whether the items are referred to as gifts, premiums, bonuses, prizes or otherwise, list (see General Instructions Number B3):

Item offered: _____

Price or value of worth: \$ _____

Price we paid: \$ _____

Supplier's: Name _____

Address _____

Telephone Number () _____

Item offered: _____

Price or value of worth: \$ _____

Price we paid: \$ _____

Supplier's: Name _____

Address _____

Telephone Number () _____

Item offered: _____

Price or value of worth: \$ _____

Price we paid: \$ _____

Supplier's: Name _____

Address _____

Telephone Number () _____

Item offered: _____

Price or value of worth: \$ _____

Price we paid: \$ _____

Supplier's: Name _____

Address _____

Telephone Number () _____

- (b) We do not represent or imply to prospective or actual purchasers that the purchaser will receive certain specific items, one or more items from among designated items or a certificate of any type which the purchaser must redeem to obtain the item described in the certificate.
25. (a) We checked 24 (a) and a purchaser does not actually receive all of the items described by us or our salespeople.
- (1) We decide which item or items a particular prospective purchaser is to receive in the following manner:

- (2) The odds a single prospective purchaser has of receiving each described item are (see general instructions number B 4): _____

- (3) The name and address of each recipient who has during the preceding 12 months (or period you have been in business, if less than 12 months) received the item having the greatest value and the item with the smallest odds of being received is (see general instructions number B 5): _____

- (b) We checked 24(a) and a purchaser received all of the items described by our salespeople.
- (c) We checked 24(b).
26. Attached and marked Exhibit 5 is a copy of all rules, regulations, terms and conditions a prospective purchaser must meet in order to receive the item(s)

described in answer to question 24.

27. (a) We do not sell or offer to sell any metal, coins, stone or mineral.
- (b) We sell or offer to sell, metal, coins, stone or mineral and the name, address and telephone number of each of our suppliers, and the metal, coin, stone or mineral we get from each supplier is as follows (see general instructions number B 6)
28. (a) We do not sell or offer to sell, metal, coins, stone or mineral.
- (b) We sell or offer to sell metal, coins, stone or mineral from our own inventory which is kept at (see General Instructions number B 7):
- (c) We sell or offer to sell metal, coins, stone or minerals directly from our sources to the purchaser or his/her back without taking possession of it ourselves.
- (d) We sell or offer to sell metal, coins, stone or mineral and we will either retain physical possession of the metal, coins, stone or mineral purchased or will not transfer physical possession of the metal, coins, stone or mineral purchased until the purchaser has paid in full for the purchase and the address of each location where the metal, coins, stone or mineral will be kept is: _____

- (e) If we do not own the premises at which the metal, coins, stone or mineral will be kept is (see General Instruction member B 8): _____

- Attached and marked Exhibit 6 is a copy of the contract(s) or other document(s) which evidences our right to store the metal, coins, stone or mineral at the address(es) designated in 28(e).
- (f) We sell or offer to sell metal, coins, stone or mineral, but we purchase the metal, stone or mineral, fill orders we have taken from purchasers, and attached (and marked Exhibit 7) is a copy of all contracts or other documents evidencing our ability to call upon suppliers to fill our orders.
29. (a) We do not sell or offer to sell any metal, coins, stone or mineral.
- (b) We sell or offer to sell metal, coins, stone or mineral but do not represent to purchasers that we have insurance or a surety bond of any type relating

to a purchaser's purchase of metal, coins, stone or mineral.

- (c) [] We sell or offer to sell metal, coins, stone or mineral and we represent to purchasers that we have insurance or a surety bond of some type relating to a purchaser's purchase of metal, coins, stone or mineral and copy of all such insurance policies and bonds is attached and marked Exhibit 8.
- 30.
- (a) [] We do not sell or offer to sell any metal, coins, stone or mineral.
 - (b) [] We sell or offer to sell metal, coins, stone or mineral but do not make any representation as to the earning or profit potential of purchases of any metal, coins, stone or mineral.
 - (c) [] We sell or offer to sell metal, coins, stone or mineral and make representations as to the earning or profit potential purchases of the metal, coins, stone or mineral. The substantiating data is attached and marked Exhibit 9 (see General Instructions number B 9).
- 31.
- (a) [] We do not sell or offer to sell interests in oil, gas or mineral fields, wells or exploration sites.
 - (b) [] We sell or offer to sell interests in oil, gas or mineral fields, wells or exploration sites and our ownership interest, if any, in each field, well or site being offered and the number of interests to be sold in each is as follows (see General Instruction number B10):
- 32.
- (a) [] We do not sell or offer to sell interests in oil, gas or mineral fields, wells or exploration sites.
 - (b) [] We sell or offer to sell interests in oil, gas or mineral fields, wells or exploration sites, but make no reference to prospective purchasers about any investigation having been done by anyone regarding any particular field, well or site in which we are selling interests.
 - (c) [] We sell or offer to sell interests in oil, gas or mineral fields, wells or exploration sites and make a reference to prospective purchasers about investigations having been done regarding the field, well or site in which we are selling interests. Attached and marked Exhibit 10 is a copy of the report and other documents relating to the investigation done which includes therein the name, business address, telephone number and professional credentials of the persons) who made the investigation.
- 33.
- (a) [] We do not sell or offer to sell interests in oil, gas or mineral fields, wells or exploration sites.

- (b) We sell or offer to sell interests in oil, gas or mineral fields, wells or exploration sites, but do not make any representation as to the earning or profit potential of purchases of any interest in these fields, wells or sites.
- (c) We sell or offer to sell interests in oil, gas or mineral fields, wells or exploration sites and make representations as to the earning or profit potential of purchases of an interest in these fields, wells or sites. The substantiating data is attached and marked Exhibit 11 (see General Instructions number B 11).
34. (a) We do not sell or offer to sell an investment opportunity of any type whatsoever.
- (b) We sell or offer to sell an investment opportunity of the type set forth in answer to questions 27-33.
35. (a) We did not check question 34(b).
- (b) We checked question 34(b) and we sell or offer to sell the following types of investment opportunities:
36. (a) We did not check question 34 (b).
- (b) We checked question 34(b) and we sell or offer to sell the investment opportunity directly from our own inventory.
- (c) We checked question 34(b) and we arrange the transfer of the investment opportunity, or evidence of ownership of it from our sources to the purchaser or his/her bank without taking possession of its ourselves.
- (d) We checked question 34(b) and we will either retain physical possession of the investment opportunity, or of the evidence of ownership of it, or will not transfer physical possession of it, or of the evidence of it, or of the evidence of ownership of it, until the purchaser had paid in full for the purchase; and
- (1) The address of each location where the investment opportunity or the evidence of its ownership will be kept is:
- _____
- _____
- _____
- (2) If we do not own the premises at which the investment opportunity, or the evidence of ownership of it, will be kept, the name(s) of the owner(s) of the business(es) at which the investment opportunity, or the evidence of ownership of

it, will be kept is:

- (3) Attached and marked Exhibit 12 is a copy of the contract or other document which evidences our right to store the investment opportunity, or the evidence of ownership of it at the address(es) designated.
37. Attached as Exhibit 13 is a copy of the fifty thousand dollar surety bond in favor of the state of Louisiana for the benefit of any person suffering injury or loss by reason of any violation of The Telephonic Sellers Act.
38. Name (s), address (Street & P.O.), telephone number of person authorized to receive service of process in Louisiana:

Name _____ Telephone () _____
Address _____
City _____ State _____ Zip Code _____

Pursuant to the provision of _____, I/we declare under penalty of perjury that all of the information provided in answer to questions 1-36, and in the Exhibits attached hereto, is true and correct.

(All principals of the telephonic seller must sign and date this verification).

Dated: _____

(signature)

(printed)

(title)

Dated: _____

(signature)

(printed)

(title)

Dated: _____

(signature)

(printed)

(title)

Dated: _____

(signature)

(printed)

(title)

Dated: _____

(signature)

(printed)

(title)